# Introduction to Logics of Knowledge and Belief

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- The agent's (hard) information (i.e., the states consistent with what the agent knows)
- The agent's beliefs (soft information—-the states consistent with what the agent believes)



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Epistemic Models:  $\mathcal{M} = \langle W, \{\sim_i\}_{i \in \mathcal{A}}, V \rangle$ 

**Truth**:  $\mathcal{M}, w \models \varphi$  is defined as follows:

•  $\mathcal{M}, w \models p \text{ iff } w \in V(p) \text{ (with } p \in At)$ 

• 
$$\mathcal{M}, \mathbf{w} \models \neg \varphi$$
 if  $\mathcal{M}, \mathbf{w} \not\models \varphi$ 

- $\mathcal{M}$ ,  $\mathbf{w} \models \varphi \land \psi$  if  $\mathcal{M}$ ,  $\mathbf{w} \models \varphi$  and  $\mathcal{M}$ ,  $\mathbf{w} \models \psi$
- $\mathcal{M}, w \models K_i \varphi$  if for each  $v \in W$ , if  $w \sim_i v$ , then  $\mathcal{M}, v \models \varphi$

Epistemic-Plausibility Models:  $\mathcal{M} = \langle W, \{\sim_i\}_{i \in \mathcal{A}}, \{\leq_i\}_{i \in \mathcal{A}}, V \rangle$ 

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#### Assumptions:

- 1. *plausibility implies possibility*: if  $w \leq_i v$  then  $w \sim_i v$ .
- 2. *locally-connected*: if  $w \sim_i v$  then either  $w \leq_i v$  or  $v \leq_i w$ .

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- $\mathcal{M}, w \models \varphi \land \psi$  if  $\mathcal{M}, w \models \varphi$  and  $\mathcal{M}, w \models \psi$
- $\mathcal{M}, w \models K_i \varphi$  if for each  $v \in W$ , if  $w \sim_i v$ , then  $\mathcal{M}, v \models \varphi$
- ►  $\mathcal{M}, w \models B_i \varphi$  if for each  $v \in Min_{\leq_i}([w]_i), \mathcal{M}, v \models \varphi$  $[w]_i = \{v \mid w \sim_i v\}$  is the agent's **information cell**.





- $W = \{w_1, w_2, w_3\}$
- w<sub>1</sub> ≤ w<sub>2</sub> and w<sub>2</sub> ≤ w<sub>1</sub> (w<sub>1</sub> and w<sub>2</sub> are equi-plausbile)
- $w_1 < w_3 \ (w_1 \le w_3 \text{ and } w_3 \not\le w_1)$

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- $w_2 \prec w_3 \ (w_2 \preceq w_3 \text{ and } w_3 \not\preceq w_2)$
- $\blacktriangleright \{w_1, w_2\} \subseteq Min_{\leq}([w_i])$





#### Conditional Belief: $B^{\varphi}\psi$



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 $\mathit{Min}_{\leq}(\llbracket \varphi \rrbracket_{\mathcal{M}}) \subseteq \llbracket \psi \rrbracket_{\mathcal{M}}$ 

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 $W_2 \leq_a W_1$ 





•  $w_1 \models B_a(H_1 \land H_2) \land B_b(H_1 \land H_2)$ 



• 
$$w_1 \models B_a(H_1 \land H_2) \land B_b(H_1 \land H_2)$$
  
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Group Knowledge

P. Vanderschraaf and G. Sillari. "Common Knowledge", The Stanford Encyclopedia of Philosophy (2009). http://plato.stanford.edu/entries/common-knowledge/.

R. Aumann. *Agreeing to Disagree*. Annals of Statistics (1976).

R. Fagin, J. Halpern, Y. Moses and M. Vardi. *Reasoning about Knowledge*. MIT Press, 1995.



#### W is a set of states or worlds.



## An **event/proposition** is any (definable) subset $E \subseteq W$



At each state, agents are assigned a set of states they *consider possible* (according to their information).

The information may be (in)correct, partitional, ....



**Knowledge Function**:  $K_i : \wp(W) \rightarrow \wp(W)$  where  $K_i(E) = \{w \mid R_i(w) \subseteq E\}$ 



 $w \in K_A(E)$  and  $w \notin K_B(E)$ 



## The model also describes the agents' higher-order knowledge/beliefs



**Everyone Knows**:  $K(E) = \bigcap_{i \in \mathcal{A}} K_i(E), K^0(E) = E, K^m(E) = K(K^{m-1}(E))$ 



**Common Knowledge**:  $C : \wp(W) \rightarrow \wp(W)$  with

$$C(E) = \bigcap_{m \ge 0} K^m(E)$$



$$w \in K(E)$$
  $w \notin C(E)$ 



$$w \in C(E)$$

**Fact.** For all  $i \in \mathcal{A}$  and  $E \subseteq W$ ,  $K_iC(E) = C(E)$ .

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**Fact.** For all  $i \in \mathcal{A}$  and  $E \subseteq W$ ,  $K_iC(E) = C(E)$ .

Suppose you are told "Ann and Bob are going together," and respond "sure, that's common knowledge." What you mean is not only that everyone knows this, but also that the announcement is pointless, occasions no surprise, reveals nothing new; in effect, that the situation after the announcement does not differ from that before. ...the event "Ann and Bob are going together" — call it *E* — is common knowledge if and only if some event — call it F happened that entails E and also entails all players' knowing F (like all players met Ann and Bob at an intimate party). (Aumann, pg. 271, footnote 8)
**Fact.** For all  $i \in \mathcal{A}$  and  $E \subseteq W$ ,  $K_iC(E) = C(E)$ .

An event *F* is **self-evident** if  $K_i(F) = F$  for all  $i \in \mathcal{A}$ .

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**Fact.**  $w \in C(E)$  if every finite path starting at w ends in a state in *E* 

The following axiomatize common knowledge:

$$C(\varphi \to \psi) \to (C\varphi \to C\psi)$$

- $C\varphi \rightarrow (\varphi \wedge EC\varphi)$  (Fixed-Point)
- ►  $C(\phi \to E\phi) \to (\phi \to C\phi)$  (Induction)

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Suppose the number are (2,3).

Do the agents know there numbers are less than 1000?

Is it common knowledge that their numbers are less than 1000?



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$$w \in K_G(E)$$
 iff  $R_G(w) \subseteq E$  (without necessarily  $R_G(w) = \bigcap_{i \in G} R_i(w)$ )

A. Baltag and S. Smets. *Correlated Knowledge: an Epistemic-Logic view on Quantum Entanglement*. Int. Journal of Theoretical Physics (2010).

# Ingredients of a Logical Analysis of Rational Agency

- $\Rightarrow$  informational attitudes (eg., knowledge, belief, certainty)
- $\Rightarrow$  time, actions and ability
- $\Rightarrow$  motivational attitudes (eg., preferences)
- ⇒ group notions (e.g., common knowledge and coalitional ability)
- $\Rightarrow$  normative attitudes (eg., obligations)

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**Theorem**. Suppose that *n* agents share a common prior and have different private information. If there is common knowledge in the group of the posterior probabilities, then the posteriors must be equal.

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S. Morris. *The common prior assumption in economic theory*. Economics and Philosophy, 11, pgs. 227 - 254, 1995.

# Generalized Aumann's Theorem

Qualitative versions: *like-minded individuals cannot agree to make different decisions.* 

M. Bacharach. Some Extensions of a Claim of Aumann in an Axiomatic Model of Knowledge. Journal of Economic Theory (1985).

J.A.K. Cave. Learning to Agree. Economic Letters (1983).

D. Samet. *Agreeing to disagree: The non-probabilistic case*. Games and Economic Behavior, Vol. 69, 2010, 169-174.

# The Framework

**Knowledge Structure**:  $\langle W, \{\Pi_i\}_{i \in \mathcal{R}} \rangle$  where each  $\Pi_i$  is a partition on W ( $\Pi_i(w)$  is the cell in  $\Pi_i$  containing w).

**Decision Function**: Let *D* be a nonempty set of **decisions**. A decision function for  $i \in \mathcal{A}$  is a function  $\mathbf{d}_i : W \to D$ . A vector  $\mathbf{d} = (d_1, \dots, d_n)$  is a decision function profile. Let  $[\mathbf{d}_i = d] = \{w \mid \mathbf{d}_i(w) = d\}.$ 

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(A1) Each agent knows her own decision:

$$[\mathbf{d}_i = d] \subseteq K_i([\mathbf{d}_i = d])$$

# Comparing Knowledge

 $[j \ge i]$ : agent j is at least as knowledgeable as agent i.

$$[j \ge i] := \bigcap_{E \in \wp(W)} (K_i(E) \Rightarrow K_j(E)) = \bigcap_{E \in \wp(W)} (\neg K_i(E) \cup K_j(E))$$

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$$[j \sim i] = [j \geq i] \cap [i \geq j]$$

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The sure-thing principle cannot appropriately be accepted as a postulate...because it would introduce new undefined technical terms referring to knowledge and possibility that would render it mathematically useless without still more postulates governing these terms. It will be preferable to regard the principle as a loose one that suggests certain formal postulates well articulated with P1 [the transitivity of preferences] (Savage, 1954)

Should I study or have a beer?

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Should I study or have a beer? Either I pass or I won't pass the exam. If I pass, it is better to drink and pass, so I should drink. If I fail, it is better to drink and fail, so I should drink. I should drink in either case, so I should have a drink.
### **Sure-Thing Principle**

#### It is not the logical principle $\varphi \to \chi$ and $\psi \to \chi$ then $\varphi \lor \psi \to \chi$ .

## Sure-Thing Principle

R. Aumann, S. Hart and M. Perry. *Conditioning and the Sure-Thing Principle*. manuscript, 2005.

J. Pearl. *The Sure-Thing Principle*. Journal of Causal Inference, Causal, Casual, and Curious Section, 4(1):81-86, 2016.

Branden Fitelson. *Confirmation, Causation, and Simpson's Paradox*. Episteme, 2017.

"Change Savage's example to make the election be merely for the office of mayor, and suppose that the businessman thinks—perhaps correctly, and perhaps with excellent reason—that his buying the property would improve the Democratic contender's chances of winning." "Change Savage's example to make the election be merely for the office of mayor, and suppose that the businessman thinks—perhaps correctly, and perhaps with excellent reason—that his buying the property would improve the Democratic contender's chances of winning."

Imagine that the businessman believes that the Democratic candidate, if elected mayor, would be a disaster to the city, regardless of whether he buys the property of not. Under such circumstances, it is quite reasonable that buying the property would be a good post-election deal, regardless of which candidate wins, yet a terrible pre-election deal, prior to knowing the winner, in blatant violation of the sure-thing principle. You're told (from a reliable source) that Nixon is a republican, which suggests that he is a Hawk. You're also told (from a reliable source) that Nixon is a Quaker, which suggests that he is a Dove. You're told (from a reliable source) that Nixon is a republican, which suggests that he is a Hawk. You're also told (from a reliable source) that Nixon is a Quaker, which suggests that he is a Dove. Either being a Hawk or a Dove implies having extreme political views. You're told (from a reliable source) that Nixon is a republican, which suggests that he is a Hawk. You're also told (from a reliable source) that Nixon is a Quaker, which suggests that he is a Dove. Either being a Hawk or a Dove implies having extreme political views. Should you conclude that Nixon has extreme political views?

# **Floating Conclusions**



J. Horty. *Skepticism and floating conclusions*. Artificial Intelligence, 135, pp. 55 - 72, 2002.

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### Interpersonal Sure-Thing Principle (ISTP)

For any pair of agents *i* and *j* and decision *d*,

$$\mathcal{K}_i([j \geq i] \cap [\mathsf{d}_j = d]) \subseteq [\mathsf{d}_i = d]$$

Suppose that Alice and Bob, two detectives who graduated the same police academy, are assigned to investigate a murder case.

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However, Alice, single and a workaholic, continues to collect more information every day until the wee hours of the morning — information which she does not necessarily share with Bob. Obviously, Bob knows that Alice is at least as knowledgeable as he is. Suppose that he also knows what Alices decision is. Since Alice uses the same investigation method as Bob, he knows that had he been in possession of the more extensive knowledge that Alice has collected, he would have made the same decision as she did. Thus, this is indeed his decision. **Proposition**. If the decision function profile **d** satisfies ISTP, then

$$[i \sim j] \subseteq \bigcup_{d \in D} ([\mathbf{d}_i = d] \cap [\mathbf{d}_j = d])$$

Agent *i* is an **epistemic dummy** if it is always the case that all the agents are at least as knowledgeable as *i*. That is, for each agent *j*,

$$[j \geq i] = W$$

A decision function profile **d** on  $\langle W, \Pi_1, ..., \Pi_n \rangle$  is **ISTP expandable** if for any expanded structure  $\langle W, \Pi_1, ..., \Pi_{n+1} \rangle$ where n + 1 is an epistemic dummy, there exists a decision function **d**<sub>n+1</sub> such that (**d**<sub>1</sub>, **d**<sub>2</sub>, ..., **d**<sub>n+1</sub>) satisfies ISTP. Suppose that after making their decisions, Alice and Bob are told that another detective, one E.P. Dummy, who graduated the very same police academy, had also been assigned to investigate the same case.

Suppose that after making their decisions, Alice and Bob are told that another detective, one E.P. Dummy, who graduated the very same police academy, had also been assigned to investigate the same case. In principle, they would need to review their decisions in light of the third detectives knowledge: knowing what they know about the third detective, his usual sources of information, for example, may impinge upon their decision.

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### Generalized Agreement Theorem

If **d** is an ISTP expandable decision function profile on a partition structure  $\langle W, \Pi_1, ..., \Pi_n \rangle$ , then for any decisions  $d_1, ..., d_n$  which are not identical,  $C(\bigcap_i [\mathbf{d}_i = d_i]) = \emptyset$ .

Dynamic characterization of Aumann's Theorem

How do the posteriors become common knowledge?

J. Geanakoplos and H. Polemarchakis. *We Can't Disagree Forever*. Journal of Economic Theory (1982).

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What happens when communication is not the whole group, but pairwise?

R. Parikh and P. Krasucki. *Communication, Consensus and Knowledge*. Journal of Economic Theory (1990).

# Dynamic Logics of Knowledge and Belief

## Fitch's Paradox

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where  $\diamond$  is a *possibility* operator (more on this later).

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Fitch make two modest assumptions for K,  $K\varphi \rightarrow \varphi$  (T) and  $K(\varphi \land \psi) \rightarrow (K\varphi \land K\psi)$  (M), and two modest assumptions for  $\diamond$ :

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- ▶  $\diamond$  is the dual of  $\Box$  for *necessity*, so  $\neg \diamond \varphi$  follows from  $\Box \neg \varphi$ .
- $\Box$  obeys the rule of Necessitation: if  $\varphi$  is a theorem, so is  $\Box \varphi$ .

For an arbitrary p, consider the following instance of (VT): (0)  $(p \land \neg Kp) \rightarrow \Diamond K(p \land \neg Kp)$ 

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(7)  $\neg (p \land \neg Kp)$  from (0) by PL

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(8)  $p \rightarrow Kp$  from (7) by classical PL Since p was arbitrary, we have shown that every truth is known.

# The Question

Fitch's Paradox leaves us with **the question**: what must we require in addition to the truth of  $\varphi$  to ensure the knowability of  $\varphi$ ?

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There is a fairly large literature on knowability and related issues. See, e.g.:

J. Salerno. 2009. New Essays on the Knowability Paradox, OUP

J. van Benthem. 2004. "What One May Come to Know," Analysis.

P. Balbiani et al. 2008. "'Knowable' as 'Known after an Announcement," *Review of Symbolic Logic*. The key idea of dynamic epistemic logic is that we can represent changes in agents' epistemic states by *transforming models*. The key idea of dynamic epistemic logic is that we can represent changes in agents' epistemic states by *transforming models*.

In the simplest case, we model an agent's acquisition of knowledge by the elimination of possibilities from an initial epistemic model.

### Finding out that $\varphi$



Recall the College Park agent who doesn't know whether it's raining in Amsterdam, whose epistemic state is represented by the model:



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Formally,  $\mathcal{M}_{|\varphi} = \langle W_{|\varphi}, \{R_{a_{|\varphi}} \mid a \in Agt\}, V_{|\varphi} \rangle$  is the model s.th.:

 $\boldsymbol{W}_{|\varphi} = \{ \boldsymbol{v} \in \boldsymbol{W} \mid \mathcal{M}, \boldsymbol{v} \models \varphi \};$ 

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In the single-agent case, this models the agent learning  $\varphi$ . In the multi-agent case, this models all agents *publicly* learning  $\varphi$ .

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 iff  $\mathcal{M}, \mathbf{w} \models \varphi$  and  $\mathcal{M}_{|\varphi}, \mathbf{w} \models \psi$ .

**Big Idea**: we evaluate  $[!\varphi]\psi$  and  $\langle !\varphi\rangle\psi$  not by looking at *other* worlds in the same model, but rather by looking at a new model.

Suppose  $\mathcal{M} = \langle W, \{\sim_i\}_{i \in \mathcal{A}}, \{\leq_i\}_{i \in \mathcal{A}}, V \rangle$  is a multi-agent Kripke Model

$$\mathcal{M}, \mathbf{w} \models [\psi] \varphi$$
 iff  $\mathcal{M}, \mathbf{w} \models \psi$  implies  $\mathcal{M}|_{\psi}, \mathbf{w} \models \varphi$ 

where  $\mathcal{M}|_{\psi} = \langle W', \{\sim'_i\}_{i \in \mathcal{A}}, \{\leq'_i\}_{i \in \mathcal{A}}, V' \rangle$  with

- $\blacktriangleright W' = W \cap \{w \mid \mathcal{M}, w \models \psi\}$
- ▶ For each  $i, \sim'_i = \sim_i \cap (W' \times W')$
- ▶ For each  $i, \leq_i' = \leq_i \cap (W' \times W')$
- ▶ for all  $p \in At$ ,  $V'(p) = V(p) \cap W'$

$$[\psi] p \quad \leftrightarrow \quad (\psi \to p)$$

$$\begin{aligned} [\psi] \rho &\leftrightarrow (\psi \to \rho) \\ [\psi] \neg \varphi &\leftrightarrow (\psi \to \neg [\psi] \varphi) \end{aligned}$$

$$\begin{split} [\psi] p & \leftrightarrow \quad (\psi \to p) \\ [\psi] \neg \varphi & \leftrightarrow \quad (\psi \to \neg [\psi] \varphi) \\ [\psi] (\varphi \land \chi) & \leftrightarrow \quad ([\psi] \varphi \land [\psi] \chi) \end{split}$$

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**Theorem** Every formula of Public Announcement Logic is equivalent to a formula of Epistemic Logic.



- ▶ [q]Kq
- $Kp \rightarrow [q]Kp$

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- $\blacktriangleright \ B\varphi \to [\psi] B\varphi$

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- ▶ [q]Kq
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► [φ]φ

Are  $[\varphi]B\psi$  and  $B^{\varphi}\psi$  different?

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•  $w_1 \models B_1 B_2 q$ 

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- $w_1 \models B_1 B_2 q$
- $w_1 \models B_1^p B_2 q$
- $w_1 \models [p] \neg B_1 B_2 q$

Are  $[\varphi]B\psi$  and  $B^{\varphi}\psi$  different? Yes!



- $\blacktriangleright w_1 \models B_1 B_2 q$
- $w_1 \models B_1^p B_2 q$
- $w_1 \models [p] \neg B_1 B_2 q$
- More generally, B<sup>p</sup><sub>i</sub>(p ∧ ¬K<sub>i</sub>p) is satisfiable but [p]B<sub>i</sub>(p ∧ ¬K<sub>i</sub>p) is not.

$$\blacktriangleright \ [\varphi] \mathsf{K} \psi \leftrightarrow (\varphi \to \mathsf{K} (\varphi \to [\varphi] \psi))$$

$$\blacktriangleright \ [\varphi] \mathsf{K} \psi \leftrightarrow (\varphi \to \mathsf{K} (\varphi \to [\varphi] \psi))$$

$$\blacktriangleright \ [\varphi][\leq]\psi \leftrightarrow (\varphi \to [\leq](\varphi \to [\varphi]\psi))$$

$$\blacktriangleright \ [\varphi] \mathsf{K} \psi \leftrightarrow (\varphi \to \mathsf{K} (\varphi \to [\varphi] \psi))$$

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▶ **Belief**: 
$$[\phi]B\psi \leftrightarrow (\phi \rightarrow B(\phi \rightarrow [\phi]\psi))$$

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▶ Belief: 
$$[\varphi]B\psi \leftrightarrow (\varphi \rightarrow B(\varphi \rightarrow [\varphi]\psi))$$
  
 $[\varphi]B\psi \leftrightarrow (\varphi \rightarrow B^{\varphi}[\varphi]\psi)$ 

$$\blacktriangleright \ [\varphi] \mathsf{K} \psi \leftrightarrow (\varphi \to \mathsf{K} (\varphi \to [\varphi] \psi))$$

$$\blacktriangleright \ [\varphi][\leq]\psi \leftrightarrow (\varphi \to [\leq](\varphi \to [\varphi]\psi))$$

► **Belief**: 
$$[\varphi]B\psi \leftrightarrow (\varphi \to B(\varphi \to [\varphi]\psi))$$
  
 $[\varphi]B\psi \leftrightarrow (\varphi \to B^{\varphi}[\varphi]\psi)$   
 $[\varphi]B^{\alpha}\psi \leftrightarrow (\varphi \to B^{\varphi \land [\varphi]\alpha}[\varphi]\psi)$ 

#### Finding out that $\varphi$



 Epistemic states: AGM, Plausibility Models, Bayesian Model (and the many variations)

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- "Finding out that φ"
  - Learn that  $\varphi$
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- "Finding out that φ"
  - Learn that  $\varphi$
  - Suppose that  $\varphi$
  - Accept φ
  - ...
- How did you find out that  $\varphi$ ?
  - Directly observed φ
  - Indirectly observed  $\varphi$
  - Told 'φ' (by an epistemic peer, by an expert, by a trusted individual)
  - ...
- Belief change over time

# The Theory of Belief Revision

C. Alchourrón, P. Gärdenfors and D. Makinson. *On the logic of theory change: Partial meet contraction and revision functions.* Journal of Symbolic Logic, 50, 510 - 530, 1985.

Hans Rott. Change, Choice and Inference: A Study of Belief Revision and Nonmonotonic Reasoning. Oxford University Press, 2001.

A.P. Pedersen and H. Arló-Costa. *"Belief Revision."*. In Continuum Companion to Philosophical Logic. Continuum Press, 2011.

# $\mathcal{B}\ast\varphi$

 $\mathcal{B} * \varphi$ Initial set of beliefs








- $W = \{w_1, w_2, w_3\}$
- w<sub>1</sub> ≤ w<sub>2</sub> and w<sub>2</sub> ≤ w<sub>1</sub> (w<sub>1</sub> and w<sub>2</sub> are equi-plausbile)
- $w_1 < w_3 \ (w_1 \le w_3 \text{ and } w_3 \not\le w_1)$

• 
$$w_2 \prec w_3 \ (w_2 \preceq w_3 \text{ and } w_3 \not\preceq w_2)$$



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- $\blacktriangleright \{w_1, w_2\} \subseteq Min_{\leq}([w_i])$





#### **Conditional Belief**: $B^{\varphi}\psi$



#### Conditional Belief: $B^{\varphi}\psi$

#### $\mathit{Min}_{\leq}(\llbracket \varphi \rrbracket_{\mathcal{M}}) \subseteq \llbracket \psi \rrbracket_{\mathcal{M}}$



Incorporate the new information  $\varphi$ 



Incorporate the new information  $\varphi$ 



# **Public Announcement**: Information from an infallible source $(!\varphi)$ : $A \prec_i B$



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**Radical Upgrade**: Information from a strongly trusted source  $(\Uparrow \varphi)$ :  $A \prec_i B \prec_i C \prec_i D \prec_i E$ 



$$\begin{aligned} &Min_{\leq}([w_1]) = \{w_4\}, \text{ so } w_1 \models B(H_1 \land H_2) \\ &Min_{\leq}([w_1] \cap \llbracket T_1 \rrbracket_{\mathcal{M}}) = \{w_2\}, \text{ so } w_1 \models B^{T_1} H_2 \\ &Min_{\leq}([w_1] \cap \llbracket T_1 \rrbracket_{\mathcal{M}}) = \{w_3\}, \text{ so } w_1 \models B^{T_2} H_1 \end{aligned}$$



Suppose the agent finds out that  $T_1$  is true.







#### **Informative Actions**



**Public Announcement**: Information from an infallible source  $(!\varphi)$ :  $A \prec_i B$   $\mathcal{M}^{!\varphi} = \langle W^{!\varphi}, \{\sim_i^{!\varphi}\}_{i \in \mathcal{A}}, V^{!\varphi} \rangle$ 

#### **Informative Actions**



**Radical Upgrade**: ( $\Uparrow \varphi$ ):  $A \prec_i B \prec_i C \prec_i D \prec_i E$ ,  $\mathcal{M}^{\Uparrow \varphi} = \langle W, \{\sim_i\}_{i \in \mathcal{A}}, \{\leq_i^{\Uparrow \varphi}\}_{i \in \mathcal{A}}, V \rangle$ 

Let  $\llbracket \varphi \rrbracket_i^w = \{x \mid \mathcal{M}, x \models \varphi\} \cap \llbracket w \rrbracket_i$ 

- ▶ for all  $x \in \llbracket \varphi \rrbracket_i^w$  and  $y \in \llbracket \neg \varphi \rrbracket_i^w$ , set  $x <_i^{\uparrow \varphi} y$ ,
- ▶ for all  $x, y \in \llbracket \varphi \rrbracket_i^w$ , set  $x \leq_i^{\uparrow \varphi} y$  iff  $x \leq_i y$ , and
- ▶ for all  $x, y \in \llbracket \neg \varphi \rrbracket_i^w$ , set  $x \leq_i^{\uparrow \varphi} y$  iff  $x \leq_i y$ .

#### **Informative Actions**



#### **Conservative Upgrade**: ( $\uparrow \varphi$ ): $A \prec_i C \prec_i D \prec_i B \cup E$

Conservative upgrade is radical upgrade with the formula

$$best_i(\varphi, w) := Min_{\leq_i}([w]_i \cap \{x \mid \mathcal{M}, x \models \varphi\})$$

1. If 
$$v \in best_i(\varphi, w)$$
 then  $v <_i^{\uparrow \varphi} x$  for all  $x \in [w]_i$ , and  
2. for all  $x, y \in [w]_i - best_i(\varphi, w), x \leq_i^{\uparrow \varphi} y$  iff  $x \leq_i y$ .

#### **Recursion Axioms**

# $$\begin{split} [\Uparrow\varphi] B^{\psi} \chi \leftrightarrow (L(\varphi \land [\Uparrow\varphi] \psi) \land B^{\varphi \land [\Uparrow\varphi] \psi} [\Uparrow\varphi] \chi) \lor \\ (\neg L(\varphi \land [\Uparrow\varphi] \psi) \land B^{[\Uparrow\varphi] \psi} [\Uparrow\varphi] \chi) \end{split}$$

#### **Recursion Axioms**

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#### $[\uparrow \varphi] B^{\psi} \chi \leftrightarrow (B^{\varphi} \neg [\uparrow \varphi] \psi \land B^{[\uparrow \varphi] \psi} [\uparrow \varphi] \chi) \lor (\neg B^{\varphi} \neg [\uparrow \varphi] \psi \land B^{\varphi \land [\uparrow \varphi] \psi} [\uparrow \varphi] \chi)$

#### Composition



### **Iterated Updates**

 $|\varphi_1, |\varphi_2, |\varphi_3, \dots, |\varphi_n|$ always reaches a fixed-point

 $p \cap p \cap p \cap p$ ... Contradictory beliefs leads to oscillations

 $\uparrow \varphi, \uparrow \varphi, \dots$ Simple beliefs may never stabilize

 $(\uparrow \varphi, \Uparrow \varphi, ...$ Simple beliefs stabilize, but conditional beliefs do not

A. Baltag and S. Smets. *Group Belief Dynamics under Iterated Revision: Fixed Points and Cycles of Joint Upgrades.* TARK, 2009.



Let  $\varphi$  be  $(r \lor (B^{\neg r}q \land p) \lor (B^{\neg r}p \land q))$ 



Suppose that you are in the forest and happen to a see strange-looking animal.

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Note that in the last model,  $\mathcal{M}_3$ , the agent does not believe that the bird is red.

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Note that in the last model,  $\mathcal{M}_3$ , the agent does not believe that the bird is red. The problem is that there does not seem to be any justification for why the agent drops her belief that the bird is red. This seems to result from the accidental fact that the agent started by updating with the information that the animal is a bird. In particular, note that the following sequence of updates is not problematic:





R. Stalnaker. *Iterated Belief Revision*. Erkenntnis 70, pgs. 189 - 209, 2009.

#### Two Postulates of Iterated Revision
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11 If 
$$\psi \in Cn(\{\varphi\})$$
 then  $(K * \psi) * \varphi = K * \varphi$ .  
12 If  $\neg \psi \in Cn(\{\varphi\})$  then  $(K * \varphi) * \psi = K * \psi$ 

Postulate I1 demands if φ → ψ is a theorem (with respect to the background theory), then first learning ψ followed by the more specific information φ is equivalent to directly learning the more specific information φ.

#### Two Postulates of Iterated Revision

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- Postulate I1 demands if φ → ψ is a theorem (with respect to the background theory), then first learning ψ followed by the more specific information φ is equivalent to directly learning the more specific information φ.
- Postulate I2 demands that first learning φ followed by learning a piece of information ψ incompatible with φ is the same as simply learning ψ outright. So, for example, first learning φ and then ¬φ should result in the same belief state as directly learning ¬φ.

UUU	DDD
UUD	DDU
UDU	DUD
UDD	DUU
	J

Three switches wired such that a light is on iff all three switches are up or all three are down.

์บบบ	DDD
<mark>U</mark> UD	DDU
UDU	DUD
UDD	DUU
	J

- Three switches wired such that a light is on iff all three switches are up or all three are down.
- Three independent (reliable) observers report on the switches: Alice says switch 1 is U, Bob says switch 2 is D and Carla says switch 3 is U.

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、 、	J

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- I receive the information that the light is on. What should I believe?

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- Cautious: UUU, DDD; Bold: UUU

UUU	DDD
UUD	DDU
UDU	DUD
UDD	DUU
	J

Suppose there are two switches: L<sub>1</sub> is the main switch and L<sub>2</sub> is a secondary switch controlled by the first two lights. (So L<sub>1</sub> → L<sub>2</sub>, but not the converse)

UUU	DDD
<mark>U</mark> UD	DDU
<b>UD</b> U	DUD
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- Suppose I receive L<sub>1</sub> ∧ L<sub>2</sub>, this does not change the story.
- Suppose I learn that L<sub>2</sub>. This is irrelevant to Carla's report, but it means either Ann or Bob is wrong.

UUU	DDD
<mark>U</mark> UD	DDU
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- Now, after learning L<sub>1</sub>, the only rational thing to believe is that all three switches are up.

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- Now, after learning L<sub>1</sub>, the only rational thing to believe is that all three switches are up.



► So,  $L_2 \in Cn(\{L_1\})$  but (potentially) ( $K * L_2$ ) \*  $L_1 \neq K * L_1$ .

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- Alice reports that the coin in box 1 is lying heads up, Bert reports that the coin in box 2 is lying heads up.
- Two new independent witnesses, whose reliability trumps that of Alice's and Bert's, provide additional reports on the status of the coins. Carla reports that the coin in box 1 is lying tails up, and Dora reports that the coin in box 2 is lying tails up.

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- Alice reports that the coin in box 1 is lying heads up, Bert reports that the coin in box 2 is lying heads up.
- Two new independent witnesses, whose reliability trumps that of Alice's and Bert's, provide additional reports on the status of the coins. Carla reports that the coin in box 1 is lying tails up, and Dora reports that the coin in box 2 is lying tails up.
- Finally, Elmer, a third witness considered the most reliable overall, reports that the coin in box 1 is lying heads up.

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- Since Elmers report is irrelevant to the status of the coin in box 2, it seems natural to assume that H<sub>1</sub> ∧ T<sub>2</sub> ∈ K' \* (T<sub>1</sub> ∧ T<sub>2</sub>) \* H<sub>1</sub>.

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- The problem: Since (T<sub>1</sub> ∧ T<sub>2</sub>) → ¬H<sub>1</sub> is a theorem (given the background theory), by I2 it follows that K' \* (T<sub>1</sub> ∧ T<sub>2</sub>) \* H<sub>1</sub> = K' \* H<sub>1</sub>.

Yet, since  $H_1 \land H_2 \in K'$  and  $H_1$  is consistent with  $H_2$ , we must have  $H_1 \land H_2 \in K' * H_1$ , which yields a conflict with the assumption that  $H_1 \land T_2 \in K' * (T_1 \land T_2) * H_1$ .

...[Postulate I2] directs us to take back the totality of any information that is overturned. Specifically, if we first receive information  $\alpha$ , and then receive information that conflicts with  $\alpha$ , we should return to the belief state we were previously in, before learning  $\alpha$ . But this directive is too strong. Even if the new information conflicts with the information just received, it need not necessarily cast doubt on all of that information.

(Stalnaker, pg. 207–208)

 $H_1H_2 T_1T_2$  $H_1T_2 T_1H_2$  $\mathcal{M}_0$ 







 $H_1H_2 T_1T_2$  $H_1T_2 T_1H_2$  $\mathcal{M}_0$ 







A key aspect of any formal model of a (social) interactive situation or situation of rational inquiry is the way it accounts for the

...information about how I learn some of the things I learn, about the sources of my information, or about what I believe about what I believe and don't believe. If the story we tell in an example makes certain information about any of these things relevant, then it needs to be included in a proper model of the story, if it is to play the right role in the evaluation of the abstract principles of the model. (Stalnaker, pg. 203)

R. Stalnaker. *Iterated Belief Revision*. Erkentnis 70, pgs. 189 - 209, 2009.

### Discussion, I

A proper conceptualization of the event and report structure is crucial (the event space must be 'rich enough'): A theory must be able to accommodate the conceptualization, but other than that it hardly counts in favor of a theory that the modeler gets this conceptualization right.

### Discussion, II

There seems to be a trade-off between a rich set of states and event structure, and a rich theory of 'doxastic actions'.

How should we resolve this trade-off when analyzing counterexamples to postulates of belief changes over time?

**meta-information**: information about how "trusted" or "reliable" the sources of the information are.
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This is particularly important when analyzing how an agent's beliefs change over an extended period of time. For example, rather than taking a stream of contradictory incoming evidence (i.e., the agent receives the information that p, then the information that q, then the information that  $\neg p$ , then the information that  $\neg q$ ) at face value (and performing the suggested belief revisions), a rational agent may consider the stream itself as evidence that the source is not reliable

**procedural information**: information about the underlying *protocol* specifying which events (observations, messages, actions) are available (or permitted) at any given moment.

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A *protocol* describes what the agents "can" or "cannot" do (say, observe) in a social interactive situation or rational inquiry.

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#### Actions

1. Actions as transitions between states, or situations:

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#### **Actions**

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2. Actions restrict the set of possible future histories.



J. van Benthem, H. van Ditmarsch, J. van Eijck and J. Jaspers. *Chapter 6: Propositional Dynamic Logic*. Logic in Action Online Course Project, 2011.



**Language**: The language of propositional dynamic logic is generated by the following grammar:

 $p \mid \neg \varphi \mid \varphi \land \psi \mid [\alpha]\varphi$ 

where  $p \in At$  and  $\alpha$  is generated by the following grammar:

 $\mathbf{a} \mid \alpha \cup \beta \mid \alpha; \beta \mid \alpha^* \mid \varphi?$ 

where  $a \in Act$  and  $\varphi$  is a formula.

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**Semantics**:  $\mathcal{M} = \langle W, \{R_a \mid a \in P\}, V \rangle$  where for each  $a \in P$ ,  $R_a \subseteq W \times W$  and  $V : At \rightarrow \wp(W)$ 

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**Semantics**:  $\mathcal{M} = \langle W, \{R_a \mid a \in P\}, V \rangle$  where for each  $a \in P$ ,  $R_a \subseteq W \times W$  and  $V : At \rightarrow \wp(W)$ 

 $[\alpha]\varphi$  means "after doing  $\alpha, \varphi$  will be true"

 $\langle \alpha \rangle \varphi$  means "after doing  $\alpha$ ,  $\varphi$  may be true"

 $\mathcal{M}, w \models [\alpha] \varphi$  iff for each *v*, if  $w R_{\alpha} v$  then  $\mathcal{M}, v \models \varphi$ 

 $\mathcal{M}, w \models \langle \alpha \rangle \varphi$  iff there is a *v* such that  $wR_{\alpha}v$  and  $\mathcal{M}, v \models \varphi$ 

Union

$$R_{\alpha\cup\beta}:=R_{\alpha}\cup R_{\beta}$$



## Sequence

$$R_{lpha;eta} := R_{lpha} \circ R_{eta}$$



#### Test

 $R_{\varphi?} = \{(w, w) \mid \mathcal{M}, w \models \varphi\}$ 



### Iteration

$$R_{\alpha^*} := \cup_{n \ge 0} R_{\alpha}^n$$

- 1. Axioms of propositional logic
- **2.**  $[\alpha](\varphi \to \psi) \to ([\alpha]\varphi \to [\alpha]\psi)$
- **3**.  $[\alpha \cup \beta] \varphi \leftrightarrow [\alpha] \varphi \land [\beta] \varphi$
- **4**.  $[\alpha; \beta] \varphi \leftrightarrow [\alpha] [\beta] \varphi$
- 5.  $[\psi?]\varphi \leftrightarrow (\psi \rightarrow \varphi)$
- **6.**  $\varphi \wedge [\alpha][\alpha^*]\varphi \leftrightarrow [\alpha^*]\varphi$
- 7.  $\varphi \wedge [\alpha^*](\varphi \to [\alpha]\varphi) \to [\alpha^*]\varphi$
- 8. Modus Ponens and Necessitation (for each program  $\alpha$ )

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- **2.**  $[\alpha](\varphi \to \psi) \to ([\alpha]\varphi \to [\alpha]\psi)$
- **3**.  $[\alpha \cup \beta] \varphi \leftrightarrow [\alpha] \varphi \land [\beta] \varphi$
- **4**.  $[\alpha; \beta] \varphi \leftrightarrow [\alpha] [\beta] \varphi$
- **5**.  $[\psi?]\varphi \leftrightarrow (\psi \rightarrow \varphi)$
- 6.  $\varphi \wedge [\alpha][\alpha^*]\varphi \leftrightarrow [\alpha^*]\varphi$  (Fixed-Point Axiom)
- 7.  $\varphi \wedge [\alpha^*](\varphi \to [\alpha]\varphi) \to [\alpha^*]\varphi$  (Induction Axiom)
- 8. Modus Ponens and Necessitation (for each program  $\alpha$ )

An early approach to interpret PDL as logic of actions was put forward by Krister Segerberg.

Segerberg adds an "agency" program to the PDL language  $\delta A$  where A is a formula.

K. Segerberg. Bringing it about. JPL, 1989.

The intended meaning of the program ' $\delta A$ ' is that the agent "brings it about that *A*': *formally*,  $\delta A$  is the set of all paths *p* such that

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The axioms:

- **1**. [δA]A
- **2**.  $[\delta A]B \rightarrow ([\delta B]C \rightarrow [\delta A]C)$

### Actions and Agency in Branching Time

Alternative accounts of agency do not include explicit description of the actions:



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- Formulas are interpreted at history moment pairs.
- At each moment there is a choice available to the agent (partition of the histories through that moment)
- The key modality is [*i stit*]φ which is intended to mean that the agent *i* can "see to it that φ is true".
  - $[i \ stit]\varphi$  is true at a history moment pair provided the agent can choose a (set of) branch(es) such that every future history-moment pair satisfies  $\varphi$

We use the modality '\$' to mean historic possibility.

 $\diamond$ [*i stit*] $\varphi$ : "the agent has the ability to bring about  $\varphi$ ".

A STIT models is  $\mathcal{M} = \langle T, \langle, Choice, V \rangle$  where

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- Let Hist be the set of all histories, and H<sub>t</sub> = {h ∈ Hist | t ∈ h} the histories through t.
- Choice : A × T → ℘(℘(H)) is a function mapping each agent to a partition of H<sub>t</sub>
  - Choice<sup>t</sup><sub>i</sub>  $\neq \emptyset$
  - $K \neq \emptyset$  for each  $K \in Choice_i^t$
  - For all *t* and mappings  $s_t : \mathcal{A} \to \wp(H_t)$  such that  $s_t(i) \in Choice_i^t$ , we have  $\bigcap_{i \in \mathcal{A}} s_t(i) \neq \emptyset$

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### STIT Model

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 $\varphi \ = \ p \mid \neg \varphi \mid \varphi \land \psi \mid [i \ stit]\varphi \mid [i \ dstit : \ \varphi] \mid \Box \varphi$ 

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### STIT: Example

The following are false:  $A \rightarrow \diamondsuit[stit]A$  and  $\diamondsuit[stit](A \lor B) \rightarrow \diamondsuit[stit]A \lor \diamondsuit[stit]B$ .



J. Horty. Agency and Deontic Logic. 2001.

► **S5** for  $\Box$ :  $\Box(\varphi \to \psi) \to (\Box \varphi \to \Box \psi), \Box \varphi \to \varphi, \Box \varphi \to \Box \Box \varphi, \neg \Box \varphi \to \Box \neg \Box \varphi$ 

- ▶ **S5** for  $\Box$ :  $\Box(\varphi \to \psi) \to (\Box \varphi \to \Box \psi), \Box \varphi \to \varphi, \Box \varphi \to \Box \Box \varphi, \neg \Box \varphi \to \Box \neg \Box \varphi$
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$$\Box \varphi \rightarrow [i \ stit] \varphi$$

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• 
$$\Box \varphi \rightarrow [i \ stit] \varphi$$

• 
$$(\bigwedge_{i\in\mathcal{A}} \diamond[i \ stit]\varphi_i) \rightarrow \diamond(\bigwedge_{i\in\mathcal{A}} [i \ stit]\varphi_i)$$

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- $\blacktriangleright (\bigwedge_{i \in \mathcal{A}} \Diamond [i \ stit] \varphi_i) \to \Diamond (\bigwedge_{i \in \mathcal{A}} [i \ stit] \varphi_i)$
- Modus Ponens and Necessitation for

M. Xu. *Axioms for deliberative STIT.* Journal of Philosophical Logic, Volume 27, pp. 505 - 552, 1998.

P. Balbiani, A. Herzig and N. Troquard. *Alternative axiomatics and complexity of deliberative STIT theories*. Journal of Philosophical Logic, 37:4, pp. 387 - 406, 2008.

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Epistemizing logics of action and ability

#### Knowledge, action, abilities

A. Herzig. *Logics of knowledge and action: critical analysis and challenges.* Autonomous Agent and Multi-Agent Systems, 2014.

J. Broeresen, A. Herzig and N. Troquard. *What groups do, can do and know they can do: An analysis in normal modal logics*. Journal of Applied and Non-Classical Logics, 19:3, pgs. 261 - 289, 2009.

W. van der Hoek and M. Wooldridge. *Cooperation, knowledge and time: Alternating-time temporal epistemic logic and its applications.* Studia Logica, 75, pgs. 125 - 157, 2003.

#### $\langle Tree, <, Agent, Choice, \{\sim_{\alpha}\}_{\alpha \in Agent}, V \rangle$

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m/h denotes (m,h) with  $m \in h$  is called an **index** 

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 $H^m = \{h \mid m \in h\}$ 

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For  $\alpha \in Agent$ , Choice<sup>*m*</sup><sub> $\alpha$ </sub> is a partition on  $H^m$ 

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For  $\alpha \in Agent$ ,  $Choice_{\alpha}^{m}$  is a partition on  $H^{m}$ 

Choice<sup>*m*</sup><sub> $\alpha$ </sub>(*h*) is the particular action at *m* that contains *h* 

 $\langle Tree, <, Agent, Choice, \{\sim_{\alpha}\}_{\alpha \in Agent}, V \rangle$ 



*V* assigns sets of indices to atomic propositions.

$$m_2/h_1 \models A \qquad m_2/h_2 \not\models A$$

 $\langle Tree, <, Agent, Choice, \{\sim_{\alpha}\}_{\alpha \in Agent}, V \rangle$ 



 $\sim_{\alpha}$  is an (equivalence) relation on indices

 $m/h \sim_{\alpha} m'/h'$ : everything  $\alpha$  knows at m/h is true at m'/h',  $\alpha$  cannot distinguish m/h and m'/h', ...


▶  $\mathcal{M}, m/h \models \Box A$  if and only if  $\mathcal{M}, m/h' \models A$  for all  $h' \in H^m$ ,



- $\mathcal{M}, m/h \models \Box A$  if and only if  $\mathcal{M}, m/h' \models A$
- $\mathcal{M}, m/h \models [\alpha \text{ stit: } A]$  if and only if  $Choice_{\alpha}^{m}(h) \subseteq |A|_{\mathcal{M}}^{m}$ ,

,



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- ►  $\mathcal{M}, m/h \models K_{\alpha}A$  if and only if, for all m'/h', if  $m/h \sim_{\alpha} m'/h'$ , then  $\mathcal{M}, m'/h' \models A$

#### Action labels

Let  $Type = \{\tau_1, \tau_2, ..., \tau_n\}$  be a set of action types—general kinds of action, as opposed to the concrete action tokens.

An action type  $\tau$  is interpreted as a partial function mapping each agent  $\alpha$  and moment m into the particular action token  $[\tau]^m_{\alpha}$  that results when  $\tau$  is executed by  $\alpha$  at m (so,  $[\tau]^m_{\alpha} \in Choice^m_{\alpha}$ )

#### Labeled stit frames

 $\langle Tree, <, Agent, Choice, \{\sim_{\alpha}\}_{\alpha \in Agent}, Type, Label, V \rangle$ ,

Label maps each action token  $K \in Choice_{\alpha}^{m}$  to a particular action type  $Label(K) \in Type$ .

If K ∈ Choice<sup>m</sup><sub>α</sub>, then [Label(K)]<sup>m</sup><sub>α</sub> = K,
 If τ ∈ Type and [τ]<sup>m</sup><sub>α</sub> is defined, then Label([τ]<sup>m</sup><sub>α</sub>) = τ.

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 $Type_{\alpha}^{m} = \{Label(K) : K \in Choice_{\alpha}^{m}\}$ 

```
Type^m_{\alpha}(h) = Label(Choice^m_{\alpha}(h))
```

### Frame properties

- ► If  $m/h \sim_{\alpha} m'/h'$ , then  $m/h'' \sim_{\alpha} m'/h'''$  for each  $h'' \in H^m$  and  $h''' \in H^{m'}$ .
- ► For all m/h,  $Know_{\alpha}(m/h) \subseteq H^m$ .
- If  $m/h \sim_{\alpha} m'/h'$ , then  $Type_{\alpha}^{m} = Type_{\alpha}^{m'}$ .
- If  $m/h \sim_{\alpha} m'/h'$ , then  $Type_{\alpha}^{m}(h) = Type_{\alpha}^{m'}(h')$ .

•  $\mathcal{M}, m/h \models [\alpha \text{ kstit: } A]$  if and only if  $[Type_{\alpha}^{m}(h)]_{\alpha}^{m'} \subseteq |A|_{\mathcal{M}}^{m'}$  for all m'/h' such that  $m'/h' \sim_{\alpha} m/h$ .

### kstit



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 $\diamond$ [ $\alpha$  *stit*: *A*]



 $\diamond$ [ $\alpha$  *stit*: A] is settled true at  $m_2$ 



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 $\mathsf{K}_{\alpha} \diamondsuit [\alpha \ stit: A]$ 

 $\diamond K_{\alpha}[\alpha \text{ stit: } A]$ 

 $\diamond$ [ $\alpha$  *kstit*: A]

Eric Pacuit

## Ex ante vs. ex interim knowledge

- $\mathcal{M}, m/h \models K_{\alpha}A$  if and only if, for all m'/h', if  $m/h \sim_{\alpha} m'/h'$ , then  $\mathcal{M}, m'/h' \models A$
- ►  $\mathcal{M}, m/h \models K_{\alpha}^{act}A$  if and only if, for all m'/h', if  $m/h \sim_{\alpha} m'/h'$  and  $h' \in [Type_{\alpha}^{m}(h)]_{\alpha}^{m'}, \mathcal{M}, m'/h' \models A$

# Discussion

Language/validities

```
\Box A \supset [\alpha \ stit: \ A]

K_{\alpha} \Box A \supset [\alpha \ kstit: \ A]

[\alpha \ kstit: \ A] \equiv K_{\alpha}^{act}[\alpha \ stit: \ A]

...
```

- What do the agents know vs. What do the agents know given what they are doing.
- Equivalence between labeled stit models (cf. Thompson transformations specifying when two imperfect information games reduce to the same Normal form)