# Reasoning about Knowledge and Beliefs <br> Lecture 14 

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# Updating vs. Revising 

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Complete vs. incomplete belief sets:
$K=C n(\{p \vee q\})$ vs. $K=C n(\{p \vee q, p, q\})$
Revising by $\neg p(K * \neg p)$ vs. Updating by $\neg p(K \diamond \neg p)$
H. Katsuno and A. O. Mendelzon. Propositional knowledge base revision and minimal change. Artificial Intelligence, 52, pp. 263-294 (1991).

## KM Postulates

KM 1: $K \diamond \varphi=C n(K \diamond \varphi)$
KM 2: $\varphi \in K \diamond \varphi$
KM 3: If $\varphi \in K$ then $K \diamond \varphi=K$
KM 4: $K \diamond \varphi$ is inconsistent iff $\varphi$ is inconsistent
KM 5: If $\varphi$ and $\psi$ are logically equivalent then $K \diamond \varphi=K \diamond \psi$
KM 6: $K \diamond(\varphi \wedge \psi) \subseteq C n(K \diamond \varphi \cup\{\psi\})$
KM 7: If $\psi \in K \diamond \varphi$ and $\varphi \in K \diamond \psi$ then $K \diamond \varphi=K \diamond \psi$
KM 8: If $K$ is complete then $K \diamond(\varphi \wedge \psi) \subseteq K \diamond \varphi \cap K \diamond \psi$
KM 9: $K \diamond \varphi=\bigcap_{M \in \operatorname{Comp}(K)} M \diamond \varphi$, where $\operatorname{Comp}(K)$ is the class of all complete theories containing $K$.

## Updating and Revising

$$
K \diamond \varphi=\bigcap_{M \in \operatorname{Comp}(K)} M * \varphi
$$

H. Katsuno and A. O. Mendelzon. On the difference between updating a knowledge base and revising it. Belief Revision, P. Gärdenfors (ed.), pp 182-203 (1992).
P. Gärdernfors. Belief revisions and the Ramsey test for conditionals. Philosophical Review, 95:1, pgs. 81-93.

When should a rational agent believe/accept/assert and conditional? (If $A$, then $B: A>B$ )

Note: $A>B$ is NOT the same as $A \Rightarrow B$

## Ramsey Test

If two people are arguing 'If $p$, then $q$ ?' and are both in doubt as to $p$, they are adding $p$ hypothetically to their stock of knowledge and arguing on that basis about $q$; so that in a sense 'If $p, q$ ' and 'If $p, \neg q$ ' are contradictories. We can say that they are fixing their degree of belief in $q$ given $p$. If $p$ turns out false, these degrees of belief are rendered void. If either party believes not $p$ for certain, the question ceases to mean anything to him except as a question about what follows from certain laws or hypotheses.
F. P. Ramsey. General Propositions and Causality. 1929.

## AGM and the Ramsey Test

Recall that $\mathcal{L}$ is a propositional language. Let $\mathcal{L} \subseteq \mathcal{L}_{<}$extends $\mathcal{L}$ with a conditional operator ' $>$ ': $A>B$ means 'If $A, B$ '

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\text { (R) } \quad A>B \in K \text { iff } B \in K * A
$$

Belief revision model: $\langle\mathbf{K}, *\rangle$ where $*: \mathbf{K} \times \mathcal{L} \rightarrow \mathbf{K}$.

## Gärdenfors Impossibility Result, I

For each $A \in \mathcal{L}$, write $K_{A}$ for $K * A$.

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## Gärdenfors Impossibility Result, II

From (R) we can conclude
(M) For all belief sets $K, K^{\prime}$ and propositions $A, K \subseteq K^{\prime}$ implies $K_{A} \subseteq K_{A}^{\prime}$

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## Preservation Criterion

(P) If $\neg A \notin K$ and $B \in K$ then $B \in K_{A}$

## Gärdenfors Impossibility Result, III

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## Gärdenfors Impossibility Result, III

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(3) $(K / A) / B=K /(A \wedge B)$
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From (1) and (P)
(I) If $\neg A \notin K$ then $K / A \subseteq K_{A}$

## Gärdenfors Impossibility Result, IV

Two propositions $A$ and $B$ are disjoint provided $\vdash \neg(A \wedge B)$

A belief revision model $\langle\mathbf{K}, \mathbf{F}\rangle$ is nontrivial provided there is a $K \in \mathbf{K}$ and pairwise disjoint propositions $A, B$ and $C$ such that $\neg A \notin K, \neg B \notin K$ and $\neg C \notin K$.

Theorem There is no nontrivial belief revision model that satisfies all the conditions (1), (2), (M) and (P).
P. Gärdenfors. Belief Revision and the Ramsey Test. Philosophical Review, Vol. 95, pp. 81-93, 1986.

## Gärdenfors Impossibility Result: Proof

Nontrivial There are $A, B$, and $C$ such that $\vdash \neg(A \wedge B)$, $\vdash \neg(B \wedge C), \vdash \neg(A \wedge C)$ and belief set $K$ such that $\neg A \notin K$, $\neg B \notin K$ and $\neg C \notin K$.
(1) $A \in K_{A}$
(2) If $K \neq K_{\perp}$ and $K_{A}=K_{\perp}$ then $\vdash \neg A$
(R) $A>B \in K$ iff $B \in K * A$
(M) For all $K, K^{\prime}$ and $A, K \subseteq K^{\prime}$ implies $K_{A} \subseteq K_{A}^{\prime}$
(P) If $\neg A \notin K$ and $B \in K$ then $B \in K_{A}$
(3) $(K / A) / B=K /(A \wedge B)$
(4) $K /(A \vee B) \subseteq K / A$
(I) If $\neg A \notin K$ then $K / A \subseteq K_{A}$
H. Leitgeb. On the Ramsey Test without Triviality. Notre Dame Journal of Formal Logic, 51:1, 2010, pp. 21-54.
H. Leitgeb. Beliefs in Conditionals Vs. Conditional Beliefs. Topoi, 26 (1), 2007, pp. 115-132.
I. Levi. Iteration of Conditionals and the Ramsey Test. Synthese, 76, 1988, pp. 49-81.

## Epistemic Plausibility Models



Epistemic-Plausibility Model: $\mathcal{M}=\left\langle W,\left\{\sim_{i}\right\}_{i \in \mathcal{A}},\left\{\preceq_{i}\right\}_{i \in \mathcal{A}}, V\right\rangle$
Language: $\varphi:=p|\neg \varphi| \varphi \wedge \psi\left|K_{i} \varphi\right| B^{\varphi} \psi\left|\left[\preceq_{i}\right] \varphi\right| B^{s} \varphi$

## Truth:

- $\llbracket \varphi \rrbracket_{\mathcal{M}}=\{w \mid \mathcal{M}, w \models \varphi\}$
- $\mathcal{M}, w \models K_{i} \varphi$ iff for all $v \in W$, if $w \sim_{i} v$ then $\mathcal{M}, v \vDash \varphi$
- $\mathcal{M}, w \vDash B_{i}^{\varphi} \psi$ iff for all $v \in \operatorname{Min}_{\varliminf_{i}}\left(\llbracket \varphi \rrbracket_{\mathcal{M}} \cap[w]_{i}\right), \mathcal{M}, v \vDash \psi$
- $\mathcal{M}, w \vDash\left[\preceq_{i}\right] \varphi$ iff for all $v \in W$, if $v \preceq_{i} w$ then $\mathcal{M}, v \vDash \varphi$


## Hard and Soft Updates

$$
M=(W, 1(W)=1]
$$

Find out that $\varphi$


$$
\mathcal{M}=\left\langle W^{\prime},\left\{\sim_{i}^{\prime}\right\}_{i \in \mathcal{A}},\left\{\preceq_{i}^{\prime}\right\}_{i \in \mathcal{A}},\left.V\right|_{W^{\prime}}\right\rangle
$$

## Belief Revision via Plausibility

$$
\text { - } W=\left\{w_{1}, w_{2}, w_{3}\right\}
$$

## Belief Revision via Plausibility

- $W=\left\{w_{1}, w_{2}, w_{3}\right\}$
- $w_{1} \preceq w_{2}$ and $w_{2} \preceq w_{1}$ ( $w_{1}$ and $w_{2}$ are equi-plausbile)
- $w_{1} \prec w_{3}\left(w_{1} \preceq w_{3}\right.$ and $\left.w_{3} \npreceq w_{1}\right)$
- $w_{2} \prec w_{3}\left(w_{2} \preceq w_{3}\right.$ and $\left.w_{3} \npreceq w_{2}\right)$



## Belief Revision via Plausibility

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- $w_{1} \prec w_{3}\left(w_{1} \preceq w_{3}\right.$ and $\left.w_{3} \npreceq w_{1}\right)$
- $w_{2} \prec w_{3}\left(w_{2} \preceq w_{3}\right.$ and $\left.w_{3} \npreceq w_{2}\right)$
- $\left\{w_{1}, w_{2}\right\} \subseteq \operatorname{Min}_{\preceq}\left(\left[w_{i}\right]\right)$



## Belief Revision via Plausibility



Conditional Belief: $B^{\varphi} \psi$

## Belief Revision via Plausibility



Conditional Belief: $B^{\varphi} \psi$

$$
\operatorname{Min}_{\preceq}\left(\llbracket \varphi \rrbracket_{\mathcal{M}}\right) \subseteq \llbracket \psi \rrbracket_{\mathcal{M}}
$$

## Belief Revision via Plausibility



Incorporate the new information $\varphi$

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Public Announcement: Information from an infallible source (! $\varphi$ ): $A \prec_{i} B$

## Belief Revision via Plausibility



Public Announcement: Information from an infallible source (! $\varphi$ ): $A \prec_{i} B$

Conservative Upgrade: Information from a trusted source $(\uparrow \varphi): A \prec_{i} C \prec_{i} D \prec_{i} B \cup E$

## Belief Revision via Plausibility



Public Announcement: Information from an infallible source $(!\varphi): A \prec_{i} B$

Conservative Upgrade: Information from a trusted source $(\uparrow \varphi): A \prec_{i} C \prec_{i} D \prec_{i} B \cup E$

Radical Upgrade: Information from a strongly trusted source $(\Uparrow \varphi): A \prec_{i} B \prec_{i} C \prec_{i} D \prec_{i} E$

$\operatorname{Min}_{\preceq}\left(\left[w_{1}\right]\right)=\left\{w_{4}\right\}$, so $w_{1} \models B\left(H_{1} \wedge H_{2}\right)$
$\operatorname{Min}_{\preceq}\left(\left[w_{1}\right] \cap \llbracket T_{1} \rrbracket_{\mathcal{M}}\right)=\left\{w_{2}\right\}$, so $w_{1} \models B^{T_{1}} H_{2}$
$\operatorname{Min}_{\preceq}\left(\left[w_{1}\right] \cap \llbracket T_{1} \rrbracket_{\mathcal{M}}\right)=\left\{w_{3}\right\}$, so $w_{1} \models B^{T_{2}} H_{1}$


Suppose the agent finds out that $T_{1}$ is true.


## Informative Actions



Public Announcement: Information from an infallible source
$(!\varphi): A \prec_{i} B \quad \mathcal{M}^{!\varphi}=\left\langle W^{!\varphi},\left\{\sim_{i}^{!\varphi}\right\}_{i \in \mathcal{A}}, V^{!\varphi}\right\rangle$
$W^{!} \varphi=\llbracket \varphi \rrbracket_{\mathcal{M}}$
$\sim_{i}^{!\varphi}=\sim_{i} \cap\left(W^{!\varphi} \times W^{!\varphi}\right)$
$\preceq_{i}^{!\varphi}=\preceq_{i} \cap\left(W^{!\varphi} \times W^{!\varphi}\right)$

## Informative Actions



Radical Upgrade: $(\Uparrow \varphi): A \prec_{i} B \prec_{i} C \prec_{i} D \prec_{i} E$,
$\mathcal{M}^{\Uparrow \varphi}=\left\langle W,\left\{\sim_{i}\right\}_{i \in \mathcal{A}},\left\{\underline{\Omega}_{i}^{\Uparrow \varphi}\right\}_{i \in \mathcal{A}}, V\right\rangle$
Let $\llbracket \varphi \rrbracket_{i}^{w}=\{x \mid \mathcal{M}, x \models \varphi\} \cap[w]_{i}$

- for all $x \in \llbracket \varphi \rrbracket_{i}^{w}$ and $y \in \llbracket \neg \varphi \rrbracket_{i}^{w}$, set $x \prec_{i}^{\Uparrow \varphi} y$,
- for all $x, y \in \llbracket \varphi \rrbracket_{i}^{w}$, set $x \preceq_{i}^{\Uparrow \varphi} y$ iff $x \preceq_{i} y$, and
- for all $x, y \in \llbracket \neg \varphi \rrbracket_{i}^{w}$, set $x \preceq_{i}^{\Uparrow \varphi} y$ iff $x \preceq_{i} y$.


## Informative Actions



Conservative Upgrade: $(\uparrow \varphi): A \prec_{i} C \prec_{i} D \prec_{i} B \cup E$
Conservative upgrade is radical upgrade with the formula

$$
\operatorname{best}_{i}(\varphi, w):=\operatorname{Min}_{\preceq_{i}}\left([w]_{i} \cap\{x \mid \mathcal{M}, x \models \varphi\}\right)
$$

1. If $v \in \operatorname{best}_{i}(\varphi, w)$ then $v \prec_{i}^{\uparrow \varphi} \times$ for all $x \in[w]_{i}$, and
2. for all $x, y \in[w]_{i}-\operatorname{best}_{i}(\varphi, w), x \preceq_{i}^{\uparrow \varphi} y$ iff $x \preceq_{i} y$.

## Recursion Axioms

$$
\begin{aligned}
& {[\Uparrow \varphi] B^{\psi} } \chi \leftrightarrow\left(L(\varphi \wedge[\Uparrow \varphi] \psi) \wedge B^{\varphi} \wedge\{\Uparrow \varphi] \psi\right. \\
&(\neg L(\varphi \varphi)[[\uparrow \varphi]) \vee \\
&\left.(\varphi) \wedge B^{[\Uparrow \varphi \varphi \psi \psi}[\Uparrow \varphi] \chi\right)
\end{aligned}
$$

## Recursion Axioms

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& {[\Uparrow \varphi] B^{\psi} } \chi \leftrightarrow \\
&\left(\neg L\left(L(\varphi \wedge[\uparrow \wedge \varphi] \psi) \wedge B^{\varphi} \wedge\{\Uparrow \varphi \varphi] \psi\right) \wedge B^{[\Uparrow \varphi \varphi] \psi}[\{\Uparrow \varphi] \chi)\right.
\end{aligned}
$$

$[\uparrow \varphi] B^{\psi} \chi \leftrightarrow\left(B^{\varphi} \neg[\uparrow \varphi] \psi \wedge B^{[\uparrow \varphi] \psi}[\uparrow \varphi] \chi\right) \vee\left(\neg B^{\varphi} \neg[\uparrow \varphi] \psi \wedge B^{\varphi \wedge\lceil\uparrow \varphi] \psi}[\uparrow \varphi] \chi\right)$

## Composition



## Iterated Updates

$!\varphi_{1},!\varphi_{2},!\varphi_{3}, \ldots,!\varphi_{n}$ always reaches a fixed-point
$\Uparrow p \Uparrow \neg p \Uparrow p \cdots$
Contradictory beliefs leads to oscillations
$\uparrow \varphi, \uparrow \varphi, \ldots$
Simple beliefs may never stabilize
$\Uparrow \varphi, \Uparrow \varphi, \ldots$
Simple beliefs stabilize, but conditional beliefs do not
A. Baltag and S. Smets. Group Belief Dynamics under Iterated Revision: Fixed Points and Cycles of Joint Upgrades. TARK, 2009.


Let $\varphi$ be $\left(r \vee\left(B^{\neg r} q \wedge p\right) \vee(B \neg r p \wedge q)\right)$


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R. Stalnaker. Iterated Belief Revision. Erkenntnis 70, pgs. 189-209, 2009.

## Two Postulates of Iterated Revision

$$
\begin{aligned}
& \text { I1 If } \psi \in \operatorname{Cn}(\{\varphi\}) \text { then }(K * \psi) * \varphi=K * \varphi \text {. } \\
& \text { I2 If } \neg \psi \in \operatorname{Cn}(\{\varphi\}) \text { then }(K * \varphi) * \psi=K * \psi
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- Postulate I1 demands if $\varphi \rightarrow \psi$ is a theorem (with respect to the background theory), then first learning $\psi$ followed by the more specific information $\varphi$ is equivalent to directly learning the more specific information $\varphi$.


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- Postulate I1 demands if $\varphi \rightarrow \psi$ is a theorem (with respect to the background theory), then first learning $\psi$ followed by the more specific information $\varphi$ is equivalent to directly learning the more specific information $\varphi$.
- Postulate I2 demands that first learning $\varphi$ followed by learning a piece of information $\psi$ incompatible with $\varphi$ is the same as simply learning $\psi$ outright. So, for example, first learning $\varphi$ and then $\neg \varphi$ should result in the same belief state as directly learning $\neg \varphi$.


## Stalnaker's Counterexample to II

- Three switches wired such that a light is on iff all three switches are up or all three are down.


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- I receive the information that the light is on. What should I believe?


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| $U U U$ | $D D D$ |
| :--- | :--- |
| $U U D$ | $D D U$ |
| $U D U$ | $D U D$ |
| $U D D$ | $D U U$ |

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- I receive the information that the light is on. What should I believe?
- Cautious: UUU, DDD; Bold: UUU


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- Suppose there are two switches: $L_{1}$ is the main switch and $L_{2}$ is a secondary switch controlled by the first two lights. (So $L_{1} \rightarrow L_{2}$, but not the converse)


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- Suppose I receive $L_{1} \wedge L_{2}$, this does not change the story.
- Suppose I learn that $L_{2}$. This is irrelevant to Carla's report, but it means either Ann or Bob is wrong.


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- Now, after learning $L_{1}$, the only rational thing to believe is that all three switches are up.


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| $U D U$ | $D U D$ |
| $U D D$ | $D U U$ |

- So, $L_{2} \in \operatorname{Cn}\left(\left\{L_{1}\right\}\right)$ but (potentially) $\left(K * L_{2}\right) * L_{1} \neq K * L_{1}$.


## Stalnaker's Counterexample to I2

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- Two new independent witnesses, whose reliability trumps that of Alice's and Bert's, provide additional reports on the status of the coins. Carla reports that the coin in box 1 is lying tails up, and Dora reports that the coin in box 2 is lying tails up.
- Finally, Elmer, a third witness considered the most reliable overall, reports that the coin in box 1 is lying heads up.


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Yet, since $H_{1} \wedge H_{2} \in K^{\prime}$ and $H_{1}$ is consistent with $H_{2}$, we must have $H_{1} \wedge H_{2} \in K^{\prime} * H_{1}$, which yields a conflict with the assumption that $H_{1} \wedge T_{2} \in K^{\prime} *\left(T_{1} \wedge T_{2}\right) * H_{1}$.
...[Postulate I2] directs us to take back the totality of any information that is overturned. Specifically, if we first receive information $\alpha$, and then receive information that conflicts with $\alpha$, we should return to the belief state we were previously in, before learning $\alpha$. But this directive is too strong. Even if the new information conflicts with the information just received, it need not necessarily cast doubt on all of that information.
(Stalnaker, pg. 207-208)


## Heuristic Diagnosis of Stalnaker's Example



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A key aspect of any formal model of a (social) interactive situation or situation of rational inquiry is the way it accounts for the
...information about how I learn some of the things I learn, about the sources of my information, or about what I believe about what I believe and don't believe. If the story we tell in an example makes certain information about any of these things relevant, then it needs to be included in a proper model of the story, if it is to play the right role in the evaluation of the abstract principles of the model.
(Stalnaker, pg. 203)
R. Stalnaker. Iterated Belief Revision. Erkentnis 70, pgs. 189-209, 2009.

