# Reasoning about Knowledge and Beliefs <br> Lecture 6 

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## Summary

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(Multi-agent) KD45 is a logic of "belief"

## Two issues:

- Modeling awareness/unawareness
- Logics with both knowledge and belief operators


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- The agent has not yet entertained possibilities relevant to the truth of $\varphi$ (the agent is unaware of $\varphi$ ).

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E. Dekel, B. Lipman and A. Rustichini. Standard State-Space Models Preclude Unawareness. Econometrica, 55:1, pp. 159-173 (1998).

## Properties of Unawareness

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& \text { 3. } U \varphi \rightarrow U \cup \varphi
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## Properties of Unawareness

1. $U \varphi \rightarrow(\neg K \varphi \wedge \neg K \neg K \varphi)$
2. $\neg K U \varphi$
3. $U \varphi \rightarrow U U \varphi$

Theorem. In any logic where $U$ satisfies the above axiom schemes, we have

1. If $K$ satisfies Necessitation (from $\varphi$ infer $K \varphi$ ), then for all formulas $\varphi, \neg U \varphi$ is derivable (the agent is aware of everything); and
2. If $K$ satisfies Monotonicity (from $\varphi \rightarrow \psi$ infer $K \varphi \rightarrow K \psi$ ), then for all $\varphi$ and $\psi, U \varphi \rightarrow \neg K \psi$ is derivable (if the agent is unaware of something then the agent does not know anything).
B. Schipper. Online Bibliography on Models of Unawareness. http://www. econ.ucdavis.edu/faculty/schipper/unaw.htm.
J. Halpern. Alternative semantics for unawareness. Games and Economic Behavior, 37, 321-339, 2001.


Ann does not know that $P$


Ann does not know that $P$, but she believes that $\neg P$


Ann does not know that $P$, but she believes that $\neg P$ is true to degree $r$.

## Combining Logics of Knowledge and Belief

$\mathcal{M}=\left\langle W,\left\{\sim_{i}\right\}_{i \in \mathcal{A}},\left\{R_{i}\right\}_{i \in \mathcal{A}}, V\right\rangle$ where

- $W \neq \emptyset$ is a set of states;
- each $\sim_{i}$ is an equivalence relation on $W$;
- each $R_{i}$ is a serial, transitive, Euclidean relation on $W$; and
- $V$ is a valuation function.


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- $B_{i} \varphi \rightarrow B_{i} K_{i} \varphi$ ? "positive certainty"


## Combining Logics of Knowledge and Belief

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- Each $B_{i}$ is KD45
- $K_{i} \varphi \rightarrow B_{i} \varphi$ ? "knowledge implies belief"
- $B_{i} \varphi \rightarrow B_{i} K_{i} \varphi$ ? "positive certainty"
- $B_{i} \varphi \rightarrow K_{i} B_{i} \varphi$ ? "strong introspection"


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- Assuming 1. B satisfies KD45, 2. K satisfies S5, 3. knowledge implies believe and 4. positive certainty leads to a contradiction.
- $B p \rightarrow B K p$
- $\neg p \rightarrow \neg K p \rightarrow K \neg K p \rightarrow B \neg K p$
- So, $B K p \wedge B \neg K p$ also holds, but this contradictions $B \varphi \rightarrow \neg B \neg \varphi$.
J. Halpern. Should Knowledge Entail Belief?. Journal of Philosophical Logic, 25:5, 1996, pp. 483-494.

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- The agent's beliefs (soft information--the states consistent with what the agent believes)


## Digression on Belief Change, I

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Consider the following beliefs of a rational agent:
$p_{1}$ All Europeans swans are white.
$p_{2}$ The bird caught in the trap is a swan.
$p_{3}$ The bird caught in the trap comes from Sweden.
$p_{4}$ Sweden is part of Europe.

Thus, the agent believes:
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Now suppose the rational agent-for example, You-learn that the bird caught in the trap is black $(\neg q)$.

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Question: How should the agent incorporate $\neg q$ into his belief state to obtain a consistent belief state?
Problem: Logical considerations alone are insufficient to answer this question! Why??
There are several logically consistent ways to incorporate $\neg q$ !

## Digression on Belief Change, II

What extralogical factors serve to determine what beliefs to give up and what beliefs to retain?

## Digression on Belief Change, III

Belief revision is a matter of choice, and the choices are to be made in such a way that:

1. The resulting theory squares with the experience;
2. It is simple; and
3. The choices disturb the original theory as little as possible.

## Digression on Belief Change, III

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3. The choices disturb the original theory as little as possible.

Research has relied on the following related guiding ideas:

1. When accepting a new piece of information, an agent should aim at a minimal change of his old beliefs.
2. If there are different ways to effect a belief change, the agent should give up those beliefs which are least entrenched.

## Digression: Belief Revision

A.P. Pedersen and H. Arló-Costa. "Belief Revision.". In Continuum Companion to Philosophical Logic. Continuum Press, 2011.

Hans Rott. Change, Choice and Inference: A Study of Belief Revision and Nonmonotonic Reasoning. Oxford University Press, 2001.


- The agent's (hard) information (i.e., the states consistent with what the agent knows)
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## Sphere Models

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Let $W$ be a set of states, A system of spheres $\mathcal{F} \subseteq \wp(W)$ such that:

- For each $S, S^{\prime} \in \mathcal{F}$, either $S \subseteq S^{\prime}$ or $S^{\prime} \subseteq S$
- For any $P \subseteq W$ there is a smallest $S \in \mathcal{F}$ (according to the subset relation) such that $P \cap S \neq \emptyset$
- The spheres are non-empty $\bigcap \mathcal{F} \neq \emptyset$ and cover the entire information cell $\bigcup \mathcal{F}=W$ (or $[w]=\{v \mid w \sim v\}$ )

Let $\mathcal{F}$ be a system of spheres on $W$ : for $w, v \in W$, let

$$
w \preceq_{\mathcal{F}} v \text { iff for all } S \in \mathcal{F} \text {, if } v \in S \text { then } w \in S
$$

Then, $\preceq_{\mathcal{F}}$ is reflexive, transitive, and well-founded.
$w \preceq_{\mathcal{F}} v$ means that: no matter what the agent learns in the future, as long as world $v$ is still consistent with his beliefs and $w$ is still epistemically possible, then $w$ is also consistent with his beliefs.

## Plausibility Models

Epistemic Models: $\mathcal{M}=\left\langle W,\left\{\sim_{i}\right\}_{i \in \mathcal{A}}, V\right\rangle$
Truth: $\mathcal{M}, w \models \varphi$ is defined as follows:

- $\mathcal{M}, w \models p$ iff $w \in V(p)$ (with $p \in A t$ )
- $\mathcal{M}, w \models \neg \varphi$ if $\mathcal{M}, w \not \vDash \varphi$
- $\mathcal{M}, w \models \varphi \wedge \psi$ if $\mathcal{M}, w \models \varphi$ and $\mathcal{M}, w \models \psi$
- $\mathcal{M}, w \models K_{i} \varphi$ if for each $v \in W$, if $w \sim_{i} v$, then $\mathcal{M}, v \models \varphi$


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Most Plausible: For $X \subseteq W$, let

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\operatorname{Min}_{\preceq_{i}}(X)=\left\{v \in W \mid v \preceq_{i} w \text { for all } w \in X\right\}
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## Assumptions:

1. plausibility implies possibility: if $w \preceq_{i} v$ then $w \sim_{i} v$.
2. locally-connected: if $w \sim_{i} v$ then either $w \preceq_{i} v$ or $v \preceq_{i} w$.

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- $\mathcal{M}, w \models K_{i} \varphi$ if for each $v \in W$, if $w \sim_{i} v$, then $\mathcal{M}, v \models \varphi$
- $\mathcal{M}, w \models B_{i} \varphi$ if for each $v \in \operatorname{Min}_{\varliminf_{i}}\left([w]_{i}\right), \mathcal{M}, v \models \varphi$ $[w]_{i}=\left\{v \mid w \sim_{i} v\right\}$ is the agent's information cell.

